

12-18-01

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. MOT-D2555

First Inventor Stoneback et al.

Title SYSTEM AND METHOD FOR ATTACHING BROADBAND TEST POINT  
WITHIN HFC NETWORK

Express Mail Label No. EL 930547055 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 14]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. **Unsigned Declaration**
17. ☒ Other: **and Power of Attorney**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

24375

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name

VOLPE AND KOENIG, P.C. DEPT MOT

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Randolph J. Hujs, Esquire

Registration No. (Attorney/Agent)

34,626

Signature

Date

December 14, 2001

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U.S. PTO  
10/017062  
12/14/01

12/14/01  
11064 U.S. PTO

12/14/01

11064 U.S. PTO

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Volpe and Koenig Revision of PTO/SB/17 (10-01)

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

908.

## Complete if Known

Application Number

Not Yet Known

Filing Date

Not Yet Known

First Named Inventor

Stoneback et al.

Examiner Name

Not Yet Known

Group Art Unit

Not Yet Known

Attorney Docket No.

MOT-D2555

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

22-0493

Deposit  
Account  
Name

VOLPE AND KOENIG, P.C.

- ☒ Charge any Deficiencies or Credit any Overpayment in the Total Fees Associated With This Communication

- ☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$)

Code (\$)

Fee Paid

|     |     |     |     |                        |      |
|-----|-----|-----|-----|------------------------|------|
| 101 | 740 | 201 | 370 | Utility filing fee     | 740. |
| 106 | 330 | 206 | 165 | Design filing fee      |      |
| 107 | 510 | 207 | 255 | Plant filing fee       |      |
| 108 | 740 | 208 | 370 | Reissue filing fee     |      |
| 114 | 160 | 214 | 80  | Provisional filing fee |      |

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 20                 | - 20** = 0   | 18             | 0        |
| 5                  | - 3** = 2    | 84             | 168      |
| Multiple Dependent |              |                |          |

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$)

Code (\$)

|     |     |     |     |  |
|-----|-----|-----|-----|--|
| 103 | 18  | 203 | 9   | Claims in excess of 20                                     |
| 102 | 84  | 202 | 42  | Independent claims in excess of 3                          |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid                      |
| 109 | 84  | 209 | 42  | ** Reissue independent claims over original patent         |
| 110 | 18  | 210 | 9   | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Fee Code | Large Entity (\$) | Small Entity (\$) | Fee Description | Fee Paid   |  |
|----------|-------------------|-------------------|-----------------|--|--|
| 105      | 130               | 205               | 65              | Surcharge - late filing fee or oath  |  |
| 127      | 50                | 227               | 25              | Surcharge - late provisional filing fee or cover sheet                     |  |
| 139      | 130               | 139               | 130             | Non-English specification  |  |
| 147      | 2,520             | 147               | 2,520           | For filing a request for <i>ex parte</i> reexamination                     |  |
| 112      | 920*              | 112               | 920*            | Requesting publication of SIR prior to Examiner action                     |  |
| 113      | 1,840*            | 113               | 1,840*          | Requesting publication of SIR after Examiner action                        |  |
| 115      | 110               | 215               | 55              | Extension for reply within first month                                     |  |
| 116      | 400               | 216               | 200             | Extension for reply within second month                                    |  |
| 117      | 920               | 217               | 460             | Extension for reply within third month                                     |  |
| 118      | 1,440             | 218               | 720             | Extension for reply within fourth month                                    |  |
| 128      | 1,960             | 228               | 980             | Extension for reply within fifth month                                     |  |
| 119      | 320               | 219               | 160             | Notice of Appeal   |  |
| 120      | 320               | 220               | 160             | Filing a brief in support of an appeal                                     |  |
| 121      | 280               | 221               | 140             | Request for oral hearing   |  |
| 138      | 1,510             | 138               | 1,510           | Petition to institute a public use proceeding                              |  |
| 140      | 110               | 240               | 55              | Petition to revive - unavoidable   |  |
| 141      | 1,280             | 241               | 640             | Petition to revive - unintentional   |  |
| 142      | 1,280             | 242               | 640             | Utility issue fee (or reissue)   |  |
| 143      | 460               | 243               | 230             | Design issue fee   |  |
| 144      | 620               | 244               | 310             | Plant issue fee  |  |
| 122      | 130               | 122               | 130             | Petitions to the Commissioner  |  |
| 123      | 50                | 123               | 50              | Processing fee under 37 CFR 1.17(q)  |  |
| 126      | 180               | 126               | 180             | Submission of Information Disclosure Stmt                                  |  |
| 581      | 40                | 581               | 40              | Recording each patent assignment per property (times number of properties) |  |
| 146      | 740               | 246               | 370             | Filing a submission after final rejection (37 CFR § 1.129(a))              |  |
| 149      | 740               | 249               | 370             | For each additional invention to be examined (37 CFR § 1.129(b))           |  |
| 179      | 740               | 279               | 370             | Request for Continued Examination (RCE)                                    |  |
| 169      | 900               | 169               | 900             | Request for expedited examination of a design application                  |  |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Name (Print/Type)

Randolph J. Huis, Esquire

Registration No.  
(Attorney/Agent)

34,626

Complete (if applicable)

Telephone

215-568-6400

Signature

Date

December 14, 2001

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